| <b>I</b>  | F INFORMATION DISCLO<br>nder 37 CFR 1.97(b) or 1.9'   |  | Docket No.<br>DC4998CIP2   |  |  |  |
|---|---|--|--|--|--|--|
| In Re Application: Heidi Marie Van Dort         |   |  |  |  |  |  |
| Serial No.                                      | Filing Date   | Examiner   | Group Art Unit   |  |  |  |
| Sunscreens Based                                | on Substituted Hydrocarbyle Fun   | nctional Siloxanes for Househol                        | ld, Health and   |  |  |  |
| Personal Care Applicatio                        | ns  |  |  |  |  |  |
|   | (Only complete if Applicant ele   | ment of Fee<br>ects to pay the fee set forth in 37 C   | CFR 1.17(p))   |  |  |  |
| as described belo Charge th Credit and Charge a | reby authorized to charge and c<br>w.<br>le amount of<br>y overpayment.<br>ny additional fee required.              |  |  |  |  |  |
| I certify that this docume                      | ransmission by Facsimile*  ent and authorization to charge deposit nile transmitted to the United States Office (F: | I certify that this documen on as first class mail und | at and fee is being deposited with the U.S. Postal Service er 37 C.F.R. 1.8 and is sioner for Patents, P.O. Box 13-1450. |  |  |  |
|   | Signature   | Signature of Perso                                     | on Mailing Correspondence  |  |  |  |
| Typed or Printed N                              | ame of Person Signing Certificate   | Typed or Printed Name o                                | f Person Mailing Certificate   |  |  |  |
| Re-7 hu   | - CO1232  | Dated: April 19, 2004                                  |  |  |  |  |

|  |   |  |   | Docket No.<br>DC4998CIP2  |  |
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| oplication Of: I   | leidi Marie Van Dort  |  |   |   |  |
| Serial No.   | Filing Date   | Examiner   |   | Group Art Unit  |  |
|  | •   | ctional Siloxanes for Househo  | ld, Hea   | olth and  |  |
|  | Commiss<br>P.O<br>Alexandria  | sioner for Patents<br>D. Box 1450<br>a, VA 22313-1450  |   |   |  |
| of a national ap<br>three months of<br>application; befo | oplication other than a continued<br>f the date of entry of the national<br>ore the mailing of a first Office Ac                              | prosecution application under<br>stage as set forth in 37 CFF<br>tion on the merits, or before t   | er 37 (<br>R 1.491<br>the ma  | CFR 1.53(d); within<br>1 in an international  |  |
|  | 37 C  | FR 1.97(c)   |   |   |  |
| CFR 1.97(b), pr<br>Final Action und                      | rovided that the Information Disc<br>der 37 CFR 1.113, a Notice of  | closure Statement is filed bet<br>f Allowance under 37 CFR   | fore the 1.311,   | e mailing date of a   |  |
| ☐ the statement specified in 37 CFR 1.97(e);             |   |  |   |   |  |
|  | OR  |  |   |   |  |
| □ the fee se   | t forth in 37 CFR 1.17(p).  |  |   |   |  |
|  | The Information of a national apthree months of application; before Action after the formation CFR 1.97(b), profinal Action underwise closes. | Commiss P.C. Alexandri  The Information Disclosure Statement submitted three months of the date of entry of the national application; before the mailing of a first Office Action after the filling of a request for continued experiments of the date of entry of the national application; before the mailing of a first Office Action after the filling of a request for continued experiments of the date of entry of the national application; before the mailing of a first Office Action after the filling of a request for continued experiments. The Information Disclosure Statement submitted CFR 1.97(b), provided that the Information Disclosure Statement submitted CFR 1.97(b), provided that the Information Disclosure Statement submitted CFR 1.97(b), provided that the Information Disclosure Statement submitted CFR 1.97(b), provided that the Information Disclosure Statement submitted CFR 1.97(b), provided that the Information Disclosure Statement submitted CFR 1.97(b), provided that the Information Disclosure Statement submitted CFR 1.97(b), provided that the Information Disclosure Statement submitted CFR 1.97(b), provided that the Information Disclosure Statement submitted CFR 1.97(b), provided that the Information Disclosure Statement submitted CFR 1.97(b), provided that the Information Disclosure Statement submitted CFR 1.97(b), provided that the Information Disclosure Statement submitted CFR 1.97(b), provided that the Information Disclosure Statement submitted CFR 1.97(b), provided that the Information Disclosure Statement submitted CFR 1.97(b), provided that the Information Disclosure Statement submitted CFR 1.97(b), provided that the Information Disclosure Statement submitted CFR 1.97(b), provided that the Information Disclosure Statement submitted CFR 1.97(b), provided that the Information Disclosure Statement submitted CFR 1.97(b), provided that the Information Disclosure Statement submitted CFR 1.97(b), provided that the Information Disclosure Statement submitted CFR 1.97(b), provided that the Information Disclosure S | Serial No. Filing Date Examiner  Sunscreens Based on Substituted Hydrocarbyle Functional Siloxanes for Househo al Care Applications  Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450  37 CFR 1.97(b)  The Information Disclosure Statement submitted herewith is being filed within of a national application other than a continued prosecution application und three months of the date of entry of the national stage as set forth in 37 CFF application; before the mailing of a first Office Action on the merits, or before the Action after the filing of a request for continued examination under 37 CFR 1.1  37 CFR 1.97(c)  The Information Disclosure Statement submitted herewith is being filed after CFR 1.97(b), provided that the Information Disclosure Statement is filed before the Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR otherwise closes prosecution in the application, and is accompanied by one of the statement specified in 37 CFR 1.97(e);  OR | Optication Of: Heidi Marie Van Dort  Serial No. Filing Date Examiner  Sunscreens Based on Substituted Hydrocarbyle Functional Siloxanes for Household, Head all Care Applications  Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450  37 CFR 1.97(b)  The Information Disclosure Statement submitted herewith is being filed within three of a national application other than a continued prosecution application under 37 of three months of the date of entry of the national stage as set forth in 37 CFR 1.49' application; before the mailing of a first Office Action on the merits, or before the ma Action after the filing of a request for continued examination under 37 CFR 1.114.  37 CFR 1.97(c)  The Information Disclosure Statement submitted herewith is being filed after the per CFR 1.97(b), provided that the Information Disclosure Statement is filed before the Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, otherwise closes prosecution in the application, and is accompanied by one of:  \[ \begin{array}{cccccccccccccccccccccccccccccccccccc |  |

## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

| Docket Number (Optional) | Application Number |   |
|--------------------------|--------------------|---|
| DC4998CIP2               |                    |   |
| Applicant(s)             |                    |   |
|                          |                    | _ |
| Filing Date              | Group Art Unit     |   |

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| EXAMINER<br>INITIAL | REF             | DOCUMENT NUMBER | DATE      | NAME                   | CLASS                  | SUBCLASS          |            | DATE<br>OPRIATE |
|---------------------|-----------------|-----------------|-----------|------------------------|------------------------|-------------------|------------|-----------------|
|                     |                 | 5,486,566       | 1/23/1996 | Katsoulis              | 524                    | 773               |            |                 |
| . –                 |                 | 5,623,017       | 4/22/1997 | Hill                   | 524                    | 860               |            |                 |
|                     |                 | 6,060,044       | 5/9/2000  | Cretois                | 424                    | 70.12             |            |                 |
|                     |                 | 6,268,519 B1    | 7/31/2001 | Nye                    | 556                    | 445               |            |                 |
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|                     | Γ               |                 | T         | FOREIGN PATENT DOCUME  | NTS                    | 1                 |            |                 |
|                     | REF             | DOCUMENT NUMBER | DATE      | COUNTRY                | CLASS                  | SUBCLASS          | YES        | lation<br>NO    |
|                     |                 | EP 0 078 597    | 5/11/1983 | Europe                 | A61K                   | 7/11              |            |                 |
|                     |                 | WO 95/23579     | 9/8/1995  | Europe                 | A61K                   | 7/06              |            |                 |
|                     | WO 03/032929 A2 |                 | 4/24/2003 | Europe                 | A61K                   | 7/06              |            |                 |
|                     |                 |                 |           |                        |                        |                   |            |                 |
|                     |                 |                 |           |                        |                        |                   |            |                 |
|                     |                 |                 |           | OTHER DOCUMENTS (Incli | uding Author, Title, L | ate, Pertinent Pa | ges, Etc.) |                 |
|                     |                 |                 |           |                        |                        |                   |            |                 |
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| XAMINE              | n               |                 |           | DATE CONSIDEREI        | <u> </u>               |                   |            |                 |

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.